Intervention in *tough*-constructions

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1 Introduction

• A tough history

Ever since the early days of generative syntax, *tough*-predicates have proven considerably elusive to analysis (e.g. Lees 1960; Chomsky 1964). *Tough*-predicates occur in one of two structural frames which are nearly synonymous in meaning:

1. Tough-construction

The matrix subject is obligatorily interpreted as coreferential with the nonsubject gap position in the embedded nonfinite clause:

(1) Alex_{*i*} is tough to please $__i$.

2. Expletive construction

The matrix-subject position is filled by the expletive *it*:

(2) It is tough to please Alex.

- Embedded \overline{A} -movement

It is generally taken for granted that there is \overline{A} -movement within the lower clause. First, for theory-internal reasons, the \overline{A} -movement accounts for why the embedded subject does not intervene for relativised minimality. Second, *tough*-constructions pass diagnostics for \overline{A} -movement (Chomsky 1977, 1982):

(3) a. Embedded clause forms a *wh*-island

* [What sonatas]_j is this violin easy [Op_i to play t_j on t_i]?

b. Cannot cross a *wh*-island

* John is difficult [Op_i to imagine Mary [wondering whether she would marry *t_i*]]

c. Subject to Complex-NP Constraint
 * John is easy [Op_i to describe to Bill [a plan to assassinate t_i]]

d. Licenses parasitic gaps

[Which files]_i are easy [Op_i to discard t_i [without reading pg]]?

• The matrix subject

Analyses differ in how this embedded movement is linked to the matrix subject position.

1.1 Two accounts of *tough*-constructions

- How this embedded movement dependency is linked to the surface subject has been a matter of controversy. Analyses of *tough*-constructions divide into two groups: the long-movement analysis and the base-generation analysis.
 - 1. Long-movement analysis

The matrix subject originates in the embedded gap position. First, it \overline{A} -moves to the edge of the embedded clause. Second, it subsequently A-moves to the matrix subject position.

- (4) Alex_i is tough [t_i PRO_{ARB} to please t_i] A
- ⇒ E.g. Rosenbaum (1967); Postal (1971); Postal & Ross (1971); Brody (1993); Hornstein (2001); Hicks (2009); Hartman (2011, 2012a,b)
- 2. Base-generation analysis

The matrix subject is base-generated in that position. A null operator \overline{A} -moves from the gap position to the edge of the embedded clause. The matrix subject is interpreted as coreferential with the null operator.

(5) Alex_i is tough [Op_i PRO_{ARB} to please t_i] \overline{A} ⇒ E.g. Ross (1967); Akmajian (1972); Lasnik & Fiengo (1974); Chomsky (1977, 1981); Williams (1983); Rezac (2006); Fleisher (to appear)

1.2 Defective intervention in *tough*-constructions

• Hartman's new argument for long movement

Hartman (2011, 2012a,b) observes that an experiencer PP occurring between the *tough*-predicate and the infinitival clause yields ungrammaticality in the *tough*-construction, but not in the expletive construction.

- (6) a. It is important [PP to Mary] to avoid cholesterol.
 - b. *Cholesterol_{*i*} is important [PP to Mary] to avoid _____*i*.
- Hartman proposes that this is an instance of **defective intervention**, whereby an element with inactive syntactic features blocks agreement across it with a lower active element (Chomsky 2000).
- ⇒ He argue that this supports the long-movement analysis because defective intervention is a purported property of A-movement (Chomsky 2000). The PP is a defective intervener for the final A-movement step:



1.3 Overview

* Claim made in this talk

We argue that upon closer scrutiny the PP intervention facts do not support a long-movement account. We propose a base-generation analysis of *tough*constructions and that the intervention of the experiencer PP follows from the compositional semantics of *tough*-predicates.

- Structure of this talk
 - **1.** We review Hartman's Generalisation that the matrix adjective of a *tough*-construction cannot occur with an experiencer PP.
 - **2.** We show that the empirical landscape is broader: Adjuncts in general cannot intervene between a *tough*-predicate and the embedded clause in *tough*-constructions.
 - **3.** We propose a semantics for *tough*-predicates, which accounts for these intervention facts.

4. Our semantic account extends without stipulation to many other known properties of *tough*-predicate, many of which have remained elusive under long movement accounts.

2 Hartman's Generalisation

• A tale of two for-phrases

It is well-known since at least Chomsky (1973) that two *for*-phrases can occur in the expletive construction, but that only one can occur in the corresponding *tough*-construction:

- (8) a. It is easy for the rich for the poor to do the work.
 - b. The work_{*i*} is easy for the rich (*for the poor) to do $__i$.
- Interpretation of the PPs
 - In (8a), the first *for*-phrase *for the rich* modifies the adjective *easy* and the second *for*-phrase *for the poor* is an embedded infinitival subject.
 - All else equal, the *for*-phrase that remains in the *tough*-construction (8b) is interpreted as both the modifier and the embedded subject.
- ⇒ This means that the meaning of (8b) does not make it clear where the *for*-phrase sits.

• The traditional view

Before Hartman (2011, 2012a,b), it was standardly assumed that the *for*-phrase to survive in a *tough*-construction modifies the adjective and is not an embedded infinitival subject (e.g. Faraci 1974; Lasnik & Fiengo 1974; also see Rezac 2006 and Ahn & Sailor 2014 for recent proposals to this effect).

(9) a. The work_i is easy for the rich [PRO_{ARB} to do _____i]

b. *The work_{*i*} is easy [for the rich to do $__i$]

• Hartman's contribution

Hartman shows that this traditional assumption is untenable. We review his evidence below.

1. Unambiguous PPs

When the experiencer phrase is headed by a preposition other than *for*, this experiencer phrase cannot occur in a *tough*-construction. Crucially, these prepositions cannot introduce an embedded individual subject.

- (10) a. It is important (to Mary) to avoid cholesterol.
 - b. Cholesterol_{*i*} is important (*to Mary) to avoid _____*i*.
- (11) a. It was very hard (on me) to give up sugar.
 - b. Sugar_{*i*} was very hard (*on me) to give up $___i$.

2. Scope

A *for*-phrase can take scope above or below the matrix adjective in the expletive construction (12a). This corresponds to the two construals: (i) embedded subject or (ii) adjectival modifier. In the corresponding *tough*-construction, only low scope is possible (12b). This indicates that the *for*-phrase must be parsed as the embedded subject.

- (12) a. It is impossible for every student to fail this class.
 (*impossible* » every student; every student > *impossible*)
 - b. This test_i is impossible for every student to fail ____i.
 (impossible >> every student; *every student >> impossible)

3. Crosslinguistic evidence

In languages where infinitival subjects cannot be introduced by a preposition, a PP must modify the adjective. In a *tough*-construction, such a PP is disallowed:

- (13) Italian
 - a. È impossibile (**per gli studenti**) capire questi problemi. is impossible for the students understand these problems 'It is impossible (for the students) to understand these problems.'
 - b. Questi problemi_i sono impossibile (*per gli studenti) da these problems are impossible for the students DA capire $_{i}$.

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understand
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"These problems are impossible (*for the students) to understand." [Hartman 2012a:123]

- There is no general incompatibility between *tough*-constructions and experiencers:
 - (14) a. [PP For Mary] the book_i was fun to read _____i.
 - b. The book_{*i*} was fun to read $__i$ [PP for Mary].

* Generalisation

Hartman concludes from these facts that the following generalisation holds:

(15) HARTMAN'S GENERALISATION

In a *tough*-construction, no experiencer phrase can intervene between the *tough*-predicate and the infinitival clause.

• Hartman's analysis: Defective intervention

Hartman takes Hartman's Generalisation (15) as evidence for A-movement of the *tough*-subject from inside the infinitival clause to the matrix subject position. By hypothesis, an experiencer PP serves as a **defective intervenor** for A-movement across it.



• Intervention in A-raising

This groups the intervention effects in *tough*-constructions with purported intervention effects in Romance A-raising:

- (17) Italian
 - a. Sembra (a Maria) che Gianni è stanco.
 seems to Maria that Gianni is tired 'It seems to Maria that Gianni is tired.'
 - b. Gianni_i sembra (*a Maria) essere stanco _____i.
 Gianni seems to Maria to.be tired _____
 'Gianni seems to Maria to be tired.' [Hartman 2012a:122]

* Summary

In a *tough*-construction, the *tough*-predicate cannot be modified by an experiencer PP. Hartman (2011, 2012a,b) argues that this constitutes an argument for a long-movement analysis: The PP acts as a defective intervener and blocks A-movement over it.

3 Problems for a defective intervention account

• Section outline

In this section, we show that the empirical landscape is more complicated.

- 1. PPs do not intervene for A-movement in English.
- **2.** The same intervention effects observed by Hartman occur in structures not involving movement.
- **3.** The intervention effects generalise to adjuncts which do not trigger intervention effects in known cases of A-movement.
- **4.** There is an argument/adjunct distinction that remains mysterious under a defective intervention account.

3.1 PPs are not A-interveners

- As Hartman (2011) himself observes, PPs do not intervene for A-raising in English:
- (18) John seems [PP to Mary] t to be happy. A
- This observation undermines the basic claim that PP intervention diagnoses A-movement in English.

3.2 PP intervention in nonmovement structures

• PP intervention also emerges in constructions lacking a corresponding expletive version from which they could be derived via movement.

• Pretty-predicates

Adjectives like *pretty* or *tasty* can occur in a *tough*-construction, but not the expletive construction:

- (19) a. Marigolds_{*i*} are pretty to look at $__i$.
 - b. * It is **pretty** to look at marigolds.
 - c. Oatmeal_{*i*} is **tasty** to eat $___i$.
 - d. * It is **tasty** to eat oatmeal.

- However, although a *pretty*-predicate can in principle occur with an experiencer phrase, the experiencer phrase cannot occur between the predicate itself and the embedded clause:
 - (20) a. Mary is pretty [$_{PP}$ to John].
 - b. * Mary_{*i*} is pretty [PP to John] to look at _____i.
 - c. [PP To John], Mary_i is pretty to look at ____i.

• Gapped degree phrases

Like *pretty*-predicates, gapped degree phrases (GDPs) can occur in a *tough*-construction, but lack the expletive counterpart:

- (21) a. This table_{*i*} is too heavy to lift $__i$.
 - b. *It is **too heavy** to lift this table.
- GDPs can be modified by an experiencer phrase, but a *for*-phrase between the adjective and the infinitival clause is in principle ambiguous. However, while (22b) shows that a *for*-phrase is able to modify the matrix predicate, scope reveals that it cannot do so when it is between the predicate and the embedded clause (22c).
- (22) a. The table_{*i*} is too heavy to lift $__i$ [PP for only one worker]. (only one \gg too heavy; too heavy \gg only one)
 - b. [PP For only one worker] the table *i* is too heavy to lift ____*i*. (only one \gg too heavy; *too heavy \gg only one)
 - c. The table_i is too heavy [PP for only one worker] to lift ____i. (*only one \gg too heavy; too heavy \gg only one)
- ⇒ In GDP, an intervening *for*-phrase must be within the embedded clause. It cannot modify the matrix predicate.
- *Corroborating evidence from Italian* A PP that unambiguously attaches to the matrix predicate is unavailable in gapped degree phrases:

(23) Italian

Questo tavolo_i e' troppo pesante (*per me) da sollevare ____i.this table is too heavy for me to lift _____i.Intended: 'This table is too heavy for me to lift'

* Conclusion

These interaction effects in *pretty*-predicates and GDPs mirror those observed by Hartman (2011) for *tough*-constructions. However, these structures cannot plausibly involve A-movement because they lack the expletive, nonmovement structure. Therefore, the intervention effects cannot be the result of semantically inert long movement as postulated by Hartman for *tough*-constructions.

3.3 Intervention of noninterveners

- Bruening (2014) observes that it is not only experiencer phrases that are illicit in *tough*-construction, but adjuncts in general:
 - (24) a. It is always annoying [PP] at meetings] to talk about the budget.
 - b. *The budget_i is always annoying [PP at meetings] to talk about _____i.
- Crucially, these PPs do not otherwise block A-movement:
 - (25) John seemed [$_{PP}$ at the meeting] to be agitated.
- Italian

The same is true for Italian:

- (26) a. È difficile [PP al crepuscolo] vedere questi colori is difficult at.the twilight to.see these colors 'It is difficult at twilight to see these colors'
 - b. *Questi colori_i sono difficili [$_{PP}$ al crepuscolo] da vedere these colors are difficult at.the twilight DA to.see
 - 'These colors are difficult at twilight to see' [Bruening 2014:711f.]
- *Extension to pretty-predicates and GDP* The same intervention effects of adjuncts in general can be observed for *pretty*predicates and GDPs:

(27) a. Mary will be pretty [PP] at her wedding].

b. * Mary_{*i*} will be pretty [PP at her wedding] to look at _____*i*.

(28) a. [PP In this awkward position] the table_i will be too large to lift _____i.
b. *The table_i will be too large [PP in this awkward position] to lift _____i.

* Conclusion

That the intervention effects generalise to adjuncts, not just experiencer PPs, discredits assimilating these effects to defective intervention. These elements intervene in *tough*-constructions despite demonstrably not intervening for A-movement. Consequently, the intervention cannot be the result of A-movement over them.

3.4 Non-intervening PPs

- Finally, only adjunct PPs act as intervener. Argument PPs do not, as in (29):
 - (29) a. John_{*i*} is too fond [PP of Mary] to like _____*i*.
 - b. John_{*i*} is too angry [$_{PP}$ at Mary] to invite ____*i*.
- \Rightarrow On a defective intervention account, no such asymmetry is expected.

3.5 Section summary

- We have presented new evidence that shows that Hartman's Generalization should be revised and argued that a more comprehensive assessment of the restriction is problematic for Hartman (2011)'s defective intervention account:
 - 1. PPs do not cause intervention in structures that unambiguously involve A-movement in English.
 - **2.** PP intervention also arises in structures that lack an expletive counterpart and are thus not derived by A-movement.
 - **3.** Adjunct PPs that demonstrably do not intervene for A-movement nonetheless give rise to intervention in *tough*-constructions.
 - 4. PPs do not intervene if they are an argument of the *tough*-predicate.

- We propose the revised generlisation in (30):
- (30) **REVISED HARTMAN'S GENERALISATION** In *tough*-constructions, gapped degree phrases and *pretty*-predicates, no adjunct may occur between the adjective and the infinitival clause.

* Conclusion

It is unclear how this extended generalisation could be captured on a defective intervention account. The next section shows how the facts follow from a base-generation account.

4 Proposal

• Analysis in a nutshell

- *Tough*-predicates come in two variants: (i) one version that combines with a proposition, corresponding to the expletive construction, and (ii) another version that combines with a property of individuals, corresponding to the *tough*-construction.
- In a *tough*-construction, the embedded clause is a **null-operator** structure wherein a null operator \overline{A} -moves to the clause edge triggering abstraction over an individual variable, thus forming a property of individuals:

(31) XP is tough [
$$Op_i$$
 [PRO_{ARB} to please t_i]]
 \sim LF: XP is tough λx [PRO_{ARB} to please x]

- Intervention effects result from an irresolvable semantic-type mismatch. The property-taking version of a *tough*-predicate yields an AP that itself denotes a property of individuals. Therefore, it cannot combine with experiencer PPs and adjuncts, which only combine with propositions.
- To simplify the discussion, the focus will be on *tough*-predicates, but everything that follows applies equally to *pretty*-predicates and GDPs. *Pretty*-predicates only have a property-taking version. GDPs are discussed in the appendix.

4.1 Semantics of tough-predicates

• A judge-based semantics

Tough-predicates have the property that their truth is evaluated with respect to some individual.

• To capture this property, we propose a judge-based semantics of *tough*-predicates wherein they are subjective to the **JUDGE** of the proposition. This is represented as an argument *j* of the denotation function (following Stephenson 2007, 2010; Lasersohn 2005).¹

* Two versions of tough

Tough-predicates come in two variants: (i) a proposition-taking version (32), which corresponds to the expletive construction, and (ii) a property-taking version (33), which corresponds to the *tough*-construction:

- (32) Expletive-construction variant $\langle st, \langle d, st \rangle \rangle$ $\begin{bmatrix} \text{tough}_{\text{EXPL}} \end{bmatrix}^{j} = \lambda p_{st} \lambda d_{d} \lambda w_{s} . \forall \langle w', j' \rangle \in \text{ACC}_{w,j} \Big[\text{TOUGH}_{w',j'} (\llbracket p \rrbracket^{j'}(w'))(d) \Big]$ (In all of j's doxastic alternatives, p is d-tough to j.)
- (33) Tough-construction variant² $\langle \langle e, st \rangle, \langle d, \langle e, st \rangle \rangle \rangle$ $[[tough_{TC}]]^{j} = \lambda Q_{\langle e, st \rangle} \lambda d_{d} \lambda x_{e} \lambda w_{s} . \forall \langle w', j' \rangle \in ACC_{w,j} [TOUGH_{w',j'} ([[Q]]^{j'}(x)(w'))(d)]$ (In all of j's doxastic alternatives, Q(x) is d-tough to j.)
- (34) ACC_{*w*,*x*} = $\{\langle w', y \rangle : \text{ it is compatible with what } x \text{ believes in } w \text{ for } x \text{ to be } y \text{ in } w'\}$
- (35) TOUGH_{*w*,*j*}(*p*)(*d*) \Leftrightarrow *p* is at least *d*-tough to *j* in *w*
- Both *tough*_{EXPL} and *tough*_{TC} assert that the proposition *p* (saturated) or Q(*x*) (to be saturated) is *d*-tough according to the judge *j* in all the centred worlds (world–individual pairs) where the judge *j* is the centre.

¹ The semantics that we propose treat *tough*-predicates as predicates of personal taste, adopting proposals from Stephenson (2007, 2010) about predicates of personal taste and control. See the appendix for more details.

 $^{^2}$ We assume that $tough_{TC}$ combines with the degree variable before the tough-subject; this simplifies the semantics somewhat. However, nothing critical hinges on this decision.

\Rightarrow The crucial distinction

 $tough_{EXPL}$ and $tough_{TC}$ differ in the arguments that they combine with. Setting aside the degree and world arguments:

- *tough*_{EXPL} combines with a single propositional argument λp .
- *tough*_{TC} combines first with an argument denoting a property of individuals λQ and then with an individual argument λx . The predicate Q is subsequently saturated by *x*.

• PRO and the judge

The embedded clause of a *tough*-predicate contains PRO, which is obligatorily coreferential with the judge of the *tough*-predicate (Bhatt & Izvorski 1997; Epstein 1984; Lebeaux 1984; Bhatt & Pancheva 2006):

- (36) Implicit judge (with generic interpretation) $\rightarrow \text{PRO}_{ARB}$ It is fun [PRO_{ARB} to play hockey] $\sim \text{GEN } x$ [it is fun for x for x to play hockey]
- (37) **Overt judge**_i \rightarrow **PRO**_i (37a) \neq (37b)
 - a. It was tough [on Mary_i] [**PRO**_{i/*j} to avoid cholesterol] → It was tough on Mary for Mary to avoid cholesterol
 - b. It was tough [on Mary] [for Bill to avoid cholesterol]
- For example, (37a) cannot have an interpretation analogous to (37b) in which PRO would refer to *Bill*. Rather, PRO must refer to *Mary*.
- This generalisation is captured by PRO referring directly to the judge *j*, as independently proposed by Stephenson (2007, 2010):³
 - (38) $[[PRO]]^j = j$

[Stephenson 2007, 2010]

4.2 Illustrating the semantics

• Expletive construction

In the expletive construction, the *tough*-predicate first takes a propositional argument λp and then a degree argument λd :

(39) It is fun [PRO to play hockey]



- a. $[[CP]]^j = \lambda w$. PLAY(hockey)(j)(w)(Proposition: *j* plays hockey.)
- b. $\llbracket \operatorname{fun}_{\operatorname{EXPL}} \rrbracket^{j} = \lambda p_{st} \lambda d_{d} \lambda w_{s} \cdot \forall \langle w', j' \rangle \in \operatorname{ACC}_{w,j} \left[\operatorname{FUN}_{w',j'} (\llbracket p \rrbracket^{j'}(w'))(d) \right]$ (*j* finds *p* to be *d*-fun.)
- c. $[AP_3]^j = \lambda w . \exists d \forall \langle w', j' \rangle \in ACC_{w,j} [FUN_{w',j'}(PLAY(hockey)(j')(w'))(d)]$ (Proposition: *j* finds *j* playing hockey to be *d*-fun.)
- In (39), the derivation proceeds as follows:
 - 1. The *tough*-predicate *fun* combines with the CP, which denotes a proposition.
 - 2. Second, it combines with the degree variable *d*.
 - 3. Third, existential closure applies over the degree variable (Heim 1985).⁴
- The judge parameter *j* is then interpreted either generically or as referring to some contextually salient individual(s).

⁴ Existential quantification over the degree variable yields too weak of truth conditions for a positive degree construction. We assume that an operator like Rett's (2008) EVAL anchors the degree variable to a contextually valued standard.

³ Some of Stephenson's motivations for this proposal are given in the appendix.

• Tough-construction

In the *tough*-construction, the *tough*-predicate first combines with an argument denoting a property of individuals λQ , then a degree argument λd , and then an individual argument λx .

• The embedded clause is a **null-operator** structure formed by \overline{A} -movement of the null operator from the gap position to the clause edge. The null operator is interpreted as a λ -abstraction over its trace (Nissenbaum 2000):

(40) $[\operatorname{Op}_i[\ldots t_i\ldots]] \rightsquigarrow \operatorname{LF}:\lambda x[\ldots x\ldots]$

• Therefore, the null-operator structure denotes a **property of individuals** and is of the appropriate semantic type to compose with the *tough*-construction variant of a *tough*-predicate:



- a. $[[CP]]^j = \lambda x \lambda w$. PLAY(x)(j)(w)(Property: The set of x such that j plays x.)
- b. $\llbracket \operatorname{fun}_{\operatorname{Tc}} \rrbracket^{j} = \lambda Q_{\langle e,st \rangle} \lambda d_d \lambda x_e \lambda w_s . \forall \langle w', j' \rangle \in \operatorname{ACC}_{w,j} \left[\operatorname{FUN}_{w',j'} (\llbracket Q \rrbracket^{j'}(x)(w'))(d) \right]$ (*j* finds Q(x) to be *d*-fun.)
- c. $[AP_2]^j = \lambda x \lambda w . \forall \langle w', j' \rangle \in ACC_{w,j} [FUN_{w',j'}(PLAY(x)(j')(w'))(d)]$ (Property: The set of x such that j finds j playing x to be d-fun.)
- d. $[AP_4]^j = \lambda w : \exists d \forall \langle w', j' \rangle \in ACC_{w,j} [FUN_{w',j'} (PLAY(hockey)(j')(w'))(d)]$ (Proposition: *j* finds *j* playing hockey to be *d*-fun.)

- In (41), the derivation proceeds as follows:
 - **1.** The *tough*-predicate *fun* combines with the CP, which denotes a property of individuals.
 - **2.** Second, it combines with the degree variable *d*.
 - 3. Third, it combines with *hockey* and ultimately saturates the CP with it.
 - 4. Last, existential closure applies over the degree variable.⁵

4.3 Intervention is a semantic-type mismatch

• Semantics of the experiencer PP

The role of the experiencer PP is to overtly specify the judge. Let us assume that it is introduced by the functional head Appl⁰:

- (42) $[Appl⁰]^j = \lambda p_{st} \lambda j''_{e} \lambda w_{s} . [[p]]^{j''}(w) \qquad \langle st, \langle e, st \rangle \rangle$ (Shift the judge of the proposition *p* to *j''*.)
- In (42), Appl⁰ combines first with a propositional argument λp and then with the experiencer PP $\lambda j''$. It shifts the judge argument of the denotation function for *p* to the explicit individual(s) denoted by the experiencer PP.

\Rightarrow Only attaches to propositions

Crucially, Appl⁰ only combines with propositions. This can be observed outside the context of *tough*-predicates with predicates of personal taste, which are also judge-dependent:

- (43) a. [[PP To Kyle,][the rutabagas are tasty]].
 - b. $[[The rutabagas are tasty] [_{PP} to Kyle]].$
 - c. *The rutabagas are [$_{PP}$ to Kyle] tasty.
- In (43a) and (43b), the experiencer PP attaches high in the structure, at the propositional level, and is linearised to either the left or the right. (43c) shows that the experiencer PP cannot attach in a medial, nonpropositional position.

⁵ As existential closure is generally available only at the level of the proposition, the degree variable is a free variable until the individual argument of fun_{TC} is saturated and existential closure can apply (e.g. Heim 1982; Partee 1987).

• Extended adjectival projection

The structure of the extended adjectival projection is in (44). Appl⁰ selects for an AP and is itself selected by aP. In this sense, the extended adjectival projection parallels the extended verbal projection.

(44)
$$\begin{bmatrix} a_P \dots a^0 \begin{bmatrix} ApplP \dots Appl^0 \begin{bmatrix} AP \dots A^0 \dots \end{bmatrix} \end{bmatrix}$$

- We make the following two assumptions:
- The correct linear order of the experiencer PP with respect to the adjective is derived by head movement of A⁰ to a⁰.
- The *tough*-subject is merged in [Spec, *a*P], parallel to the external argument merging in [Spec, *v*P].

✓ Expletive construction

In the expletive construction, as the AP denotes a **proposition**, it can successfully combine with Appl⁰ and therefore with an experiencer PP:



- a. $\llbracket \operatorname{AP}_2 \rrbracket^j =$
 - λw . $\forall (w', j') \in ACC_{w,j} [FUN_{w',j'}(PLAY(hockey)(j')(w'))(d)]$ (Proposition: *j* finds *j* playing hockey to be *d*-fun.)

b. $[ApplP_1]^j =$

 $\lambda j'' \lambda w . \forall \langle w', j' \rangle \in ACC_{w,j''} [FUN_{w',j'}(PLAY(hockey)(j')(w'))(d)]$ (The set of x such that x finds x playing hockey to be d-fun.)

- c. $[aP_2]^j = \lambda w . \exists d \forall \langle w', j' \rangle \in ACC_{w,Lucy} [FUN_{w',j'} (PLAY(hockey)(j')(w'))(d)]$ (Proposition: Lucy finds Lucy playing hockey to be *d*-fun.)
- The derivation in (45) proceeds as follows:
 - **1.** The *tough*-predicate *fun* combines with the CP and the degree variable to form an AP denoting a proposition.
 - 2. Appl⁰ takes the AP as its propositional argument, returning a property of individuals wherein the judge of the proposition denoted by AP is shifted to the unsaturated individual argument $\lambda j''$ of Appl⁰.
 - 3. This individual argument is saturated with the experiencer PP.
 - 4. Last, existential closure applies over the degree variable.
- ⇒ Crucially, the AP in (45) denotes a proposition and is of the correct semantic type to compose with Appl⁰. As a result, the expletive construction can occur with an experiencer PP between the adjective and the embedded clause.
- **✗** Tough-construction

However, in the *tough*-construction, Appl⁰ is unable to combine with the AP because it denotes a property of individuals. This creates an **irresolvable semantic-type mismatch**.



- The derivation in (46) proceeds as follows:
 - **1.** The *tough*-predicate *fun* combines with the CP and the degree variable to form an AP denoting a property of individuals.
- **2.** Next, Appl⁰ tries to combine with the AP. Appl⁰ wants a propositional argument, but the AP denotes a property. With no way to semantically compose these two elements, the derivation crashes.
- Other modes of composition?—No.

There are two nonstandard modes of composition that would in principle allow Appl⁰ and AP to compose semantically:

1. Geach Rule (Geach 1972)

This type-shifting rule would raise $\langle st, \langle e, st \rangle \rangle$ to $\langle \langle e, st \rangle, \langle e, \langle e, st \rangle \rangle$, allowing Appl⁰ to take AP as its argument via Function Application. However, this is in effect a decomposed version of Function Composition (see Jacobson 1999). We make the common assumption that Function Composition is not (freely) available in the syntax, decomposed or not. Therefore, a type-shifting rule like the Geach Rule is unavailable to repair the type mismatch.

- 2. Restrict and flexible reordering of λ -abstractions (Chung & Ladusaw 2004) This would allow the AP to restrict, but not saturate the individual argument of Appl⁰. However, this would yield the wrong meaning, e.g. in (46) that it is fun to play Lucy. Moreover, it would still result in a type mismatch upon encountering the *tough*-subject, e.g. *hockey* in (46).
- Consequences

This analysis accounts for the other properties of PP intervention as well:

✗ Adjuncts also crash the semantics

The same kind of semantic-type mismatch in (46) occurs for intervening adjuncts as well because they are of type (st, st) (ignoring tense) and want a propositional argument. The AP in a *tough*-construction, which denotes a property of individuals, therefore cannot compose with these adjuncts:



✓ Interveners can occur elsewhere

Although experiencer PPs and adjuncts cannot occur between the adjective and the embedded clause, our analysis predicts that they should be able to

attach in the structure to a higher node if that node denotes a proposition. This prediction bears out:

- (48) (To Mary) cholesterol is important (*to Mary) to avoid (to Mary)
- (49) (At XMas) cholesterol is important (*at XMas) to avoid (at XMas)
- In (48) and (49), what would intervene between the adjective and the embedded clause can in fact attach at the edge of the matrix clause—because this node denotes a proposition.

✓ Argument PPs do not intervene

As mentioned earlier, argument PPs do not intervene in a GDP when they occur between the adjective and the embedded clause. This is because they compose with the adjective before the embedded clause does:

- (50) John is too [fond [of Mary]] [Op_i to like _____i]
- By composing with the adjective before it composes with the embedded clause, argument PPs thereby avoid intervening in the semantic-composition process.

4.4 Section summary

- *Tough*-predicates come in two variants: (i) a proposition-taking version, which corresponds to the expletive construction, and a (ii) property-taking version, which corresponds to the *tough*-construction.
- In a *tough*-construction, the embedded clause is a null-operator structure wherein a null operator \overline{A} -moves from the gap position to the clause edge triggering abstraction over an individual variable.
- Experiencer PPs and adjuncts both yield an irresolvable semantic-type mismatch in a *tough*-construction because the AP denotes a property, not a proposition. This prevents the intervener from occurring between the embedded clause and the adjective.
- \Rightarrow Upshot

This analysis accounts for the various intricacies uncovered in section 3 above:

- 1. PPs do not cause intervention in structures that unambiguously involve A-movement in English.
 - → English A-movement is not subject to PP intervention

2. PP intervention also arises in structures that lack an expletive counterpart and are thus not derived by A-movement.

 \rightsquigarrow PP intervention indirectly results from the presence of a null operator in these constructions

3. Adjunct PPs that demonstrably do not intervene for A-movement nonetheless give rise to intervention in *tough*-constructions.

→ Adjuncts are not A-interveners but semantically require propositions

4. PPs do not intervene if they are an argument of the *tough*-predicate.
 → Argument PPs are subcategorized for by the adjective and can hence be semantically integrated

5 Extensions and further issues

• The base-generation analysis that we propose extends beyond the intervention facts we used to motivate it. In particular, it handles without further ado a number of properties of *tough*-constructions which have in part proven elusive to long-movement accounts.

5.1 No reconstruction

• It is well-known that the *tough*-subject cannot take scope under the *tough*predicate (Postal 1974), as in (51a). Since A-movement can at least in principle reconstruct (51b), this should be a possibility according to long-movement accounts.

(51) a. Only wide scope in *tough*-constructions: Someone is difficult to please.

(*someone* » *difficult*; **difficult* » *someone*)

- b. Low scope possible in A-raising: Someone seems to be sick. (someone >> seems; seems >> someone)
- This is unproblematic on a **base-generation account:** There is no reconstruction because there is no movement.

5.2 No improper movement

• Long-movement accounts standardly postulate an A–A–A movement chain (e.g. Hartman 2011), a configuration known as hyperraising, an instance of improper movement, which is otherwise ungrammatical:

(52) * John seems [$_{CP} t$ [$_{TP} t$ likes Mary]]

• Problem for long-movement accounts

Why the same movement chain should be well-formed in *tough*-constructions, but ungrammatical everywhere else is a long-standing problem for long-movement analyses.

• A smuggling derivation?

In a recent attempt to resolve this paradox, Hicks (2009) postulates a smuggling account: The overt DP and the null operator form a complex DP in the base position. This complex DP raises to the embedded [Spec, CP]. The overt DP is then subextrated to the matrix [Spec, TP] position.

(53) John₁ is tough [[Op
$$t_1$$
]₂ to please t_2].

• Problem for smuggling account

Though this analysis does not involve an $A-\overline{A}-A$ chain, this revised derivation is likewise ungrammatical outside of *tough*-constructions (Abels 2007):

(54) * Oscar₁ was asked [[how likely
$$t_1$$
 to win]₂ it was t_2]

• Conclusion

There does not currently exist a long-movement theory of *tough*-constructions that reconciles it independently observable constraints on A-movement. This is unproblematic on a base-generation account as it does not invoke any A-movement.

5.3 No crossover

- *Tough*-constructions exhibit a long-noticed by rarely addressed paradox: Despite the compelling evidence for A-movement within the infinitival clause, this movement does **not** trigger **weak crossover effects** (Lasnik & Stowell 1991).
 - (55) Every man_i should be easy for his_i wife to love.
- On a long-movement account, (56) has to involve A-movement of *every man* over *his*. This crossover should make the example ungrammatical.
- (56) Every man_i should be easy [t_i for his_i wife to love t_i] A \overline{A}
- Under a **base-generation account**, this lack of weak crossover effects is unsurprising. As null-operator structures are interpreted via Predicate Abstraction, all that is necessary is that the *tough*-subject be coindexed with the bound pronoun and that the operator be coindexed with its trace:



• Crucially, the operator does **not** need to be coindexed with its associate to achieve the correct interpretation. There is hence no crossover in the lower clause in (57) and (55) is correctly predicted to be grammatical.

\Rightarrow Long movement accounts

Special assumptions about weak crossover and/or the relation between the movement steps are required on a long movement account.

5.4 Semantic differences

Alleged synonymy

The main intuition underlying a movement approach to *tough*-constructions is that they are synonymous to the expletive construction. Yet there are cases where this synonymy breaks down. While *tough*-constructions can appear in the **progressive**, their expletive counterpart cannot (Lasnik & Fiengo 1974):

- (58) **Progressive in** *tough*-constructions only
 - a. John_{*i*} is being easy to please ____*i*.
 - b. * It is being easy to please John.
- Because our account rests on a semantic difference between the two constructions, it is well-equipped to handle this contrast.
- Semantics of the progressive

Partee (1977) suggests that progressive *be* combines with adjectives that can take an animate subject. It then ascribes a certain behavior to this subject:

(59) Informal denotation for progressive 'be'

 $\llbracket be \rrbracket = \lambda P_{(e,st)} \lambda x \lambda w$. *x* behaves in a way that P(x)(w)

• It follows that the progressive head must apply to individuals and thus necessarily be of type $\langle \langle e, st \rangle, \langle e, st \rangle \rangle$. Consequently, it is incompatible with the expletive construction, which merely denotes a proposition (type *st*).

\Rightarrow Conclusion: No synonymy

This account crucially requires the *tough*-frame and the expletive frame to be semantically distinct. It is hence out of reach on a long-movement analysis.

5.5 Predicate sensitivity

• An apparent disadvantage

The base-generation account requires two lexical entries for adjectives like *tough*. The long-movement analysis, on the other hand, seems to have the advantage of deriving one frame from the other.

• More classes of adjectives

Crucially, there are adjectives that can occur in the expletives but not in the *tough*construction (e.g., *possible*, *polite*). As (60) shows, what frames an adjective allows is idiosyncratic. It is hence impossible to generally derive one frame from another.

(60) Adjective classes

		expletive construction	
		YES	NO
tough-construction	YES NO	tough, impossible, possible, polite,	pretty, tasty, yellow, heavy,

* Conclusion

That *tough* can occur in both has to be explicitly stated under *any* analysis. A movement account is no more elegant than the base-generation analysis.

5.6 Agree-based accounts

• Agree

Our base generation account links the matrix subject to the embedded gap by semantic means. Rezac (2006) suggests a syntactic version of the base generation account: Simplifying somewhat, the matrix T agrees with the embedded operator (also see Fleisher to appear).

- Rezac also argues that this process of Agree is active in copy raising constructions like (61):
- (61) John seems like he's the smartest guy in the world.
- Hartman (2011)'s intervention facts constitute an argument against an Agree analysis: Copy raising constructions are not subject to PP intervention:
 - (62) John seems [PP to Mary] like he's the smartest guy in the word.
- To the extent that copy raising allows us to independently diagnose the properties of the alleged Agree step, the PP intervention facts in *tough*-constructions are unaccounted for on this analysis.

5.7 Tough-constructions beyond adjectives

- Our account is phrased in terms of semantic properties, not syntactic ones. This leads one to expect parallel facts for **predicates other than adjectives**. This expectation is borne out.
- Nouns

Nouns like *pleasure* behave identical to *tough*-predicates:

- (63) Tough-like nouns
 - a. It is a pleasure to visit Reykjavík.
 - b. Reykjavík_{*i*} is a **pleasure** to visit ____*i*.
- Just like adjectives, some nouns occur in only one of the two constructions (Lasnik & Fiengo 1974):
 - (64) Nouns occurring in tough-constructions only
 - a. * It is a marvel to look at Kyle.
 - b. Kyle_{*i*} is a **marvel** to look at $__i$.
 - (65) Nouns occurring in expletive construction only
 - a. It was a mistake to fire Bill.
 - b. *Bill_{*i*} was a **mistake** to fire $__i$.
- PP intervention

PP intervention effects also arise for these nominals in the *tough*-construction:

- (66) a. Mary is a beauty [$_{PP}$ to John].
 - b. Mary_{*i*} is a beauty (*[$_{PP}$ to John]) to look at ____*i*.

(67) Scenario:

Bill is terrible at math and at risk of failing his math class. His teacher, however, is extremely supportive and goes out of his way to help Bill pass the class. He comes up with all sorts of different teaching techniques to make Bill understand the materials. But nonetheless, it is exceedingly hard for the teacher to make Bill pass the class.

- a. ? It is a challenge [$_{PP}$ for the teacher] [$_{PP}$ for Bill] to pass the class.
- b. *This class_{*i*} is a challenge [PP for the teacher] [PP for Bill] to pass _____*i*.

* Upshot

Our account extends to *tough*-like nouns and the corresponding intervention facts without further ado. A defective intervention would have to stipulate that PPs, but not DPs, act as defective intervener, a curious conspiracy.

5.8 Tough-predicates inside DPs

- It is often noted that *tough*+DP constructions are similar to *tough*-constructions and should be given a uniform account. The base generation account can be straightforwardly extended to accomplish this.
 - (68) John is a tough lawyer to beat _____



- b. $[DP]^{j} = \lambda x \lambda w$. LAWYER $(x)(w) \land$ $\exists d \forall \langle w', j' \rangle \in ACC_{w,j} [TOUGH_{w',j'}(BEAT(x)(j')(w'))(d)]$
- In (68), as *lawyer* and *tough to beat* are both of type $\langle e, st \rangle$, they can combine via simple Predicate Modification.

6 Conclusion

- Hartman (2011, 2012a,b) provides evidence that in *tough*-constructions the *tough*-predicate cannot take an experiencer PP and argues that this provides evidence for a long-movement account of *tough*-constructions.
- We have argued that this restriction is part of a larger generalisation: In null operator structures (*tough*-constructions, *pretty*-predicate, GDP), no adjunct can appear between the adjective and the infinitival clause. This larger pattern remains largely unaccounted for on a long-movement account.
- We proposed a base-generation account of *tough*-constructions that allows for a more comprehensive account of the intervention facts: In *tough*-constructions, an intervening adjuncts creates an unresolvable type mismatch.
- This account derives, without further machinery, several other well-known properties of *tough*-constructions.

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Appendix A: Predicates of personal taste and control

• Semantics of predicates of personal taste

Stephenson (2007, 2010) proposes that predicates of personal taste are two-place predicates, following Lasersohn (2005):

(69) $[[tasty]] = \lambda y_e \lambda x_e \lambda w_s . x \text{ tastes good to } y \text{ in } w$

- The first argument λy corresponds to the judge. It can be saturated in one of two ways: (i) A silent referential pronoun *pro* (70) and (ii) PRO (71). Stephenson argues that PRO refers directly to the judge of the proposition, represented as the argument *j* of the denotation function:
- (70) $\llbracket \text{tasty } pro_{\text{John}} \rrbracket = [\lambda y_e \lambda x_e \lambda w_s . x \text{ tastes good to } y \text{ in } w] (\text{John})$ = $\lambda x_e \lambda w_s . x \text{ tastes good to John in } w$
- (71) $[[\text{tasty PRO}]]^{j} = [\lambda y_{e} \lambda x_{e} \lambda w_{s} . x \text{ tastes good to } y \text{ in } w] ([[PRO]])$ $= [\lambda y_{e} \lambda x_{e} \lambda w_{s} . x \text{ tastes good to } y \text{ in } w] (j)$ $= \lambda x_{e} \lambda w_{s} . x \text{ tastes good to } j \text{ in } w$
- Having PRO refer directly to the judge allows us to capture the fact that the judge of a predicate of personal taste can refer to an attitude holder when it is embedded under an attitude predicate:
- (72) $\llbracket \operatorname{want} \rrbracket^{j} = \lambda p_{st} \lambda x_{e} \lambda w_{s} . \forall \langle w', y \rangle \in \operatorname{want}_{w,x} \llbracket p \rrbracket^{y} (w') \rrbracket$
- (73) WANT_{*x*,*w*} = $\{\langle w', y \rangle : \text{it is compatible with what } x \text{ wants in } w \text{ for } x \text{ to be } y \text{ in } w' \}$
- (74) Sue wants [the cake to be [tasty PRO]]
 - a. $\llbracket \text{want} \rrbracket^{j} (\llbracket \text{the cake to be } \llbracket \text{ tasty PRO } \rrbracket) \end{bmatrix}) (Sue)$
 - b. 1 iff $\forall \langle w', y \rangle \in WANT_{w,Sue}$ [the cake tastes good to y in w']
- Semantics of control

Stephenson extends her proposal that PRO refers to the judge to account for the obligatory *de se* interpretation of PRO under attitude predicates:

- (75) Sue wants [PRO to go on the roller coaster]
 - a. $[want]^{j} ([PRO to go on the roller coaster]) (Sue)$
 - b. 1 iff $\forall \langle w', y \rangle \in \text{WANT}_{w,\text{Sue}}[y \text{ goes on the roller coaster in } w']$

Appendix B: Gapped Degree Phrases

• Frozen scope \rightarrow In-situ

Heim (2001) observes that the *too*-clause can scope over an intensional verb only in the gapless variant:

- (76) John wants to be too rich [for the monastery to hire him].
 - a. $\forall w' \in BUL_{w,j}$: Rich \checkmark Hired by monastery \checkmark
 - b. $\forall w' \in BUL_{w,j}$: Rich \checkmark Hired by monastery \bigstar
- (77) John wants to be too rich [for the monastery to hire ____].
 - a. $\forall w' \in BUL_{w,j}$: Rich \checkmark Hired by monastery \checkmark

• Faraci's generalisation \rightarrow In-situ

Faraci (1974) observes that the gap must be coreferential with subject argument of the adjective:

(78) a. Mary runs too fast [for me to keep up with her].b. *Mary runs too fast [for me to keep up with ____].

• Semantics of GDPs

Nissenbaum & Schwarz (2011) analyse GPDs as null-operator structures. These structures are interpreted via COMPOSE, a semantic operation that exhaustively applies function application (FA) and predicate modification (PM) to its two arguments (Nissenbaum 2000). When applied to a GDP, COMPOSE yields an $\langle e, st \rangle$ -function which then applies to the base-generated matrix subject:

- (79) a. The table is too heavy [Op_i [to lift t_i]] \sim LF: The table is [heavy] [λx [too [to lift x]]]
 - b. [[heavy]] = $\lambda x_e \lambda d_d \lambda w_s$. HEAVY_w(x) $\geq d$ [[Op_i [too [to lift t_i]]]] = $\lambda x_e \lambda f_{(d,st)} \lambda w_s$. $\exists d [f(d)(w) \land \neg \exists w' \in \operatorname{Acc}_w [f(d)(w') \land \operatorname{LIFT}(x)(w')]]$

c.
$$COMPOSE([[\lambda x [too [to lift x]]]])([[heavy]]) = (by PM)$$

$$\frac{\langle \underline{e}, \langle \langle d, st \rangle, st \rangle \rangle}{\lambda y . \text{ COMPOSE}([[\lambda x [\text{ too [to lift } x]]]](y))([[heavy]](y)) = (by FA)$$

$$\frac{\langle (\underline{d, st}), st \rangle}{\lambda y . [[\lambda x [too [to lift x]]]](y) ([[heavy]](y))}$$