

## Overview

- It is well-known that our semantic machinery generates many meanings that are not utilized in natural language.
- This paper contributes a novel argument that one way in which semantics is constrained is in the homomorphic mapping from syntax to semantics:
  - **Movement cannot create  $\lambda$ -abstractions over properties:**

$$(1) * [ DP_1 \lambda f_{(e,t)} \dots [ \dots [ f_{(e,t)} ]_1 \dots ] ]$$

- **Traces cannot be type shifted into property-type meanings.**

- Evidence for these arguments comes from a detailed investigation of movement that targets property-denoting DPs.
- This thus provides a novel argument for the economy hypothesis:
  - (2) *No Higher-Type Variables Constraint* (Landman 2006)  
Variables in the LFs of natural languages are only of individual types, e.g. entities ( $e$ ), situations/worlds ( $s$ ), and degrees ( $d$ ).

## $\Pi$ -positions

Postal (1994) observes that there are syntactic environments in English that can be targeted by only some types of  $A'$ -movement, such as *wh*-movement but not topicalization. I will refer to these environments as  **$\Pi$ -positions**:

### 1 Existential constructions:

- (3) a. There is **a book** on the table.  
b.  $\checkmark$  **What**<sub>1</sub> is there \_\_\_<sub>1</sub> on the table?  
c.  $*$  **A book**<sub>1</sub>, there is \_\_\_<sub>1</sub> on the table.

### 2 Change-of-color verbs:

- (4) a. Megan painted the house **magenta**.  
b.  $\checkmark$  **What color**<sub>1</sub> did Megan paint the house \_\_\_<sub>1</sub>?  
c.  $*$  **Magenta**<sub>1</sub>, Megan painted the house \_\_\_<sub>1</sub>.

### 3 Naming verbs:

- (5) a. Helen called the cat **Snowball**.  
b.  $\checkmark$  **What name**<sub>1</sub> did Helen call the cat \_\_\_<sub>1</sub>?  
c.  $*$  **Snowball**<sub>1</sub>, Helen called the cat \_\_\_<sub>1</sub>.

### 4 Predicate nominals:

- (6) a. Erika became **a teacher**.  
b.  $\checkmark$  **What kind of teacher**<sub>1</sub> did Erika become \_\_\_<sub>1</sub>?  
c.  $*$  **A math teacher**<sub>1</sub>, Erika became \_\_\_<sub>1</sub>.

## Generalization I: Properties

➤ **DPs in  $\Pi$ -positions denote properties  $\langle e, t \rangle$ .**

- Existential constructions (Milsark 1974; Heim 1987; McNally 1997, 1998)
- Change-of-color verbs (resultatives) (Kratzer 2005)
- Naming verbs (Matushansky 2008)
- Predicate nominals (Williams 1983; Partee 1986)

## Generalization II: Scope

➤ **Scope-shifting movement cannot target a  $\Pi$ -position.**

### Topicalization

- The movement types that **cannot** target  $\Pi$ -positions, e.g. topicalization, shift scope obligatorily:
  - (7) *Everyone* likes **a (different) TV show**.  $\checkmark \forall \gg \exists; \checkmark \exists \gg \forall$
  - (8) **A (#different) TV show**<sub>1</sub>, *everyone* likes \_\_\_<sub>1</sub>.  $*\forall \gg \exists; \checkmark \exists \gg \forall$

### Wh-movement

- The movement types that **can** target  $\Pi$ -positions, e.g. *wh*-movement, shift scope optionally:
  - (9) **How many books**<sub>1</sub> should Nina read \_\_\_<sub>1</sub>?
    - a. *Wide*: For what  $n$ : There are  $n$ -many particular books  $x$  such that Nina should read  $x$ .  $\text{how many} \gg \text{should}$
    - b. *Narrow*: For what  $n$ : It is necessary for there to be  $n$ -many books  $x$  such that Nina reads  $x$ .  $\text{should} \gg \text{how many}$

- Crucially, these movement types can only target  $\Pi$ -positions when they do not shift scope:

- (10)  $*$ how many  $\gg$  should;  $\checkmark$ should  $\gg$  how many
- a. **How many books**<sub>1</sub> should there be \_\_\_<sub>1</sub> on the table?  
b. **How many colors**<sub>1</sub> should Nina paint the house \_\_\_<sub>1</sub>?

### Quantifier Raising (QR)

- This generalization is further supported by  $\Pi$ -positions prohibiting QR over the subject or negation from a  $\Pi$ -position:
  - (11) There aren't **two books** on the table.  $\checkmark$ not  $\gg$  two;  $*$ two  $\gg$  not
  - (12) **A (#different) contractor** painted the house **every color**.  
 $\checkmark \exists \gg \forall; \forall \gg \exists$

## Analysis

### Scope-shifting movement $\rightarrow$ Trace of type $e$

- Scope-shifting movement, under standard assumptions, must leave a trace of type  $e$  in order to shift scope.
- An  $e$ -type trace does not denote a property and therefore is incompatible with the property-type requirement of a  $\Pi$ -position.
- This incompatibility yields a type mismatch and hence ungrammaticality:

$$(13) * [ DP_1 \lambda x_e \dots [ \dots [ x_e ]_{\Pi\text{-pos}} \dots ] ]$$

### Non-scope-shifting movement $\rightarrow$ Reconstructs

- Movement that does not shift scope instead reconstructs syntactically.
- Therefore, if a DP would not ordinarily violate the property-type requirement of a  $\Pi$ -position, then it will not do so under reconstruction either:

$$(14) \checkmark [ \text{___}_1 \dots [ \dots [ DP_1 ]_{\Pi\text{-pos}} \dots ] ]$$

reconstruct

## Generalization III: Weak definites

➤ **Definites in  $\Pi$ -positions must be weak definites.**

- The important difference between weak and strong definites is that strong definites are anaphoric (i.e. have an index/variable) and weak definites are not (Schwarz 2009):
  - (15)  $[[\text{the}_{\text{WEAK}}]] = \lambda P . \lambda x [P(x)]$
  - (16)  $[[\text{the}_{\text{STRONG}}]] = \lambda y \lambda P . \lambda x [P(x) \wedge x = y]$   
index
- Definites in  $\Pi$ -positions cannot be anaphoric, as shown below with quantificational covariance with an indefinite:
  - (17) Every time Irene picks out *a new color* for the bathroom,
    - a. #Helen has to paint the room [**the color**] <sub>$\Pi$ -pos</sub>.
    - b. Helen complains that **the color** is too bright.

## Type shifting and traces

- Property denotations can be achieved via type shifting (Partee 1986):
  - (18)  $\mathcal{BE} = \lambda P_{(e,t)} \lambda x_e . \mathcal{P}([\lambda y . y = x]) = \lambda P_{(e,t)} \lambda x_e . \{x\} \in \mathcal{P}$
  - (19) I painted the house [ $\mathcal{BE}$ (the darker shade of green)] <sub>$\Pi$ -pos</sub>.
- Traces are interpreted via Trace Conversion (TC), the LF rule that interprets traces under the Copy Theory of Movement (Fox 2002):
  - (20)  $DP_1 \lambda x \dots [ D^0 NP ]_1 \rightsquigarrow_{TC} DP_1 \lambda x \dots [ [ \text{the } x ] NP ]_1$   
index

### Proposal

- Crucially, TC requires the strong definite determiner because it must have access to the index to be bound by the  $\lambda$ -abstraction created by movement.
- Nominal type shifting and strong definites are in complementary distribution.** This accounts for both the ban on scope-shifting movement targeting  $\Pi$ -positions and Generalization III.
  - This complementarity is syntactic:  $[ D^0 [ n^0 NP ] ]$ 
    - $\text{the}_{\text{STRONG}}$  occupies  $D^0$ .
    - Nominal type shifters occupy  $D^0$  as well.
    - $\text{the}_{\text{WEAK}}$  occupies some lower functional head, say  $n^0$ .

➤ Type-shifted definites in  $\Pi$ -positions are always weak definites:

- (21)  $[ DP (\mathcal{BE}) [ nP \text{the}_{\text{WEAK}} NP ] ] \rightsquigarrow$  Weak definite;  $\checkmark$  type shifting  
(22)  $[ DP \text{the}_{\text{STRONG}} [ nP n^0 NP ] ] \rightsquigarrow$  Strong definite;  $\times$  type shifting

➤ TC and type shifting cannot apply to the one and the same DP:

- (23)  $* DP_1 \lambda x \dots [ [ DP \mathcal{BE} [ nP \text{the}_{\text{WEAK}} NP ] ] ]_{\Pi\text{-pos}}$   $\checkmark$  Property  
 $\times$  Quantification  
*?? no variable to bind*
- (24)  $* DP_1 \lambda x \dots [ [ DP \text{the}_{\text{STRONG}} [ nP n^0 NP ] ] ]_{\Pi\text{-pos}}$   $\times$  Property  
 $\checkmark$  Quantification

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